

Weyl's Type Theorems for Quasi-Class A Operators

M.H.M. Rashid, M.S.M. Noorani and A.S. Saari
 School of Mathematical Sciences, Faculty of Science and
 Technology, Universiti Kebangsaan Malaysia, 43600 UKM, Selangor
 Darul Ehsan, Malaysia

Abstract: A variant of Weyl theorem for a class of quasi-class A acting on an infinite complex Hilbert space were discussed. If the adjoint of T is a quasi-class A operator, then the generalized a-Weyl holds for $f(T)$, for every function that analytic on the spectrum of T. The generalized Weyl theorem holds for a quasi-class A was proved. Also, a characterization of the Hilbert space as a direct sum of range and kernel of a quasi-class A was given. Among other things, if the operator is a quasi-class A, then the B-Weyl spectrum satisfies the spectral theorem was characterized.

Key words: Single valued Extension property, Fredholm theory, Browder's spectrum theory

INTRODUCTION

Throughout this study let $B(H)$ and $K(H)$, denote, respectively, the algebra of bounded linear operators and the ideal of compact operators acting on an infinite dimensional separable Hilbert space H . If $T \in B(H)$ we shall write $\ker(T)$ and $\text{ran}(T)$ for the null space and range of T , respectively. Also, let $\alpha(T) := \dim \ker(T)$, $\beta(T) := \text{co dim ran}(T)$ and let $\sigma(T), \sigma_a(T), \sigma_p(T)$ denote the spectrum, approximate point spectrum and point spectrum of T , respectively. An operator $T \in B(H)$ is called Fredholm if it has closed range, finite dimensional null space and its range has finite co dimension. The index of a Fredholm operator is given by

$$i(T) := \alpha(T) - \beta(T)$$

T is called Weyl if it is Fredholm of index 0 and Browder if it is Fredholm of finite ascent and descent.

The essential spectrum $\sigma_e(T)$, the Weyl spectrum $\sigma_w(T)$ and the Browder spectrum $\sigma_b(T)$ of T are defined by

$$\sigma_e(T) = \{\lambda \in \mathbb{C} : T - \lambda I \text{ is not Fredholm}\},$$

$$\sigma_w(T) = \{\lambda \in \mathbb{C} : T - \lambda I \text{ is not Weyl}\},$$

and

$$\sigma_b(T) = \{\lambda \in \mathbb{C} : T - \lambda I \text{ is not Browder}\},$$

respectively. Evidently

$$\sigma_e(T) \subseteq \sigma_w(T) \subseteq \sigma_b(T) \subseteq \sigma_e(T) \cup \text{acc}\sigma(T),$$

Where, we write $\text{acc}K$ for the accumulation points of $K \subseteq \mathbb{C}$. If we write $\text{iso}K = K - \text{acc}K$ then we let

$$E_0(T) := \{\lambda \in \text{iso}\sigma(T) : 0 < \alpha(T - \lambda I) < \infty\}$$

for the isolated eigenvalues of finite multiplicity and $p_0(T) := \sigma(T) - \sigma_b(T)$ (1.1)

for the Riesz points of T . Then (1.1) with the help of "Punctured neighborhoods Theorem"

$$\text{iso}\sigma(T) - \sigma_e(T) = \text{iso}\sigma(T) - \sigma_w(T) = p_0(T) \subseteq E_0(T).$$

Definition 1: [6] We say that Weyl's theorem holds for $T \in B(H)$ if

$$\sigma(T) - \sigma_w(T) = E_0(T),$$

and we shall say that Browder's theorem holds for $T \in B(H)$ if

$$\sigma(T) - \sigma_w(T) = p_0(T).$$

Evidently Weyl's theorem implies Browder's theorem. Let us denote by:

$$\Phi_+(H) = \{T \in B(H) : \alpha(T) < \infty \text{ and } \text{ran}(T) \text{ is closed}\}$$

the class of all upper semi-Fredholm operators and

$$\Phi_-(H) = \{T \in B(H) : \beta(T) < \infty\}$$

the class of all lower semi-Fredholm operators. The class of all semi-Fredholm operators is defined by $\Phi_{\pm}(H) = \Phi_+(H) \cup \Phi_-(H)$, whilst the class of all Fredholm operators is defined by $\Phi(H) = \Phi_+(H) \cap \Phi_-(H)$. The ascent $a = a(T)$ of an operator T is the smallest non-negative integer s such that $\ker(T^s) = \ker(T^{s+1})$. If such integer does not exist we put $a(T) = \infty$.

Analogously, the descent $d = d(T)$ of an operator T is the smallest non-negative integer t such that $\text{ran}(T^t) = \text{ran}(T^{t+1})$ and if such integer does not exist we put $d(T) = \infty$. It is well-known that if $a(T)$ and $d(T)$ are both finite then $a(T) = d(T)$ [7, proposition 1.49]. Two other important classes of operators in Fredholm theory are the class of all upper semi-Browder operators

$$B_+(H) := \{T \in \Phi_+(H) : a(T) < \infty\}$$

and the class of all lower semi-Browder operators

$$B_-(H) := \{T \in \Phi_-(H) : d(T) < \infty\}.$$

The class of all Browder operators is defined by $\text{Bro}(H) := B_+(H) \cap B_-(H)$. Note that if $T \in B_+(H)$ then the index is defined by $i(T) = \alpha(T) - \beta(T)$ is less than or equal to 0, whilst if $T \in B_-(H)$, then $i(T) \geq 0$, [14]. The class of all Weyl Operators $W(H)$ is defined by

$$W(H) = \{T \in \Phi(H) : i(T) = 0\}.$$

Note that $\text{Bro}(H) \subseteq W(H)$, since every Fredholm operator with finite ascent and finite descent has necessary index 0, [1, 9, 10]. The classes of operators defined above motivate the definition of several spectra. The essential approximate point spectrum is

$$\sigma_{ea}(T) := \bigcap \{ \sigma_a(T + K) : K \in K(H) \},$$

and

$$\sigma_{ba}(T) := \bigcap \{ \sigma_a(T + K) : TK = KT, K \in K(H) \},$$

is the Browder essential approximate point spectrum. It is well-known that $\sigma_{ea}(T) = \{ \lambda \in \mathbb{C} : T - \lambda I \notin B_+(H) \}$.

Definition 2: [1] We say that a -Browder's holds for T if

$$\sigma_{ea}(T) = \sigma_{ba}(T).$$

It is known that if $T \in B(H)$ then a -Browder's theorem implies Browder's theorem. In [8], the authors proved that Weyl's theorem holds for quasi-class A, in this paper, we prove that generalized Weyl's holds for quasi-class A operators.

RESULTS

Definition 3: An operator $T \in B(H)$ is said to be quasi-class A if

$$T^* |T^2| T \geq T^* |T|^2 T.$$

The class of quasi-class A introduced and studied by Jeon and Kim [15], for more interesting properties the reader should refer to [8, 15].

Lemma 4: Let $T \in B(H)$ be a quasi-class A. Then $H = \text{ran}(T) \oplus \ker(T)$. Moreover T_1 , the restriction of T to $\text{ran}(T)$ is one-one and onto.

Proof: Suppose that

$$y \in \text{ran}(T) \cap \ker(T) \text{ then } y = Tx \\ \text{for some } x \in H \text{ and } Ty = 0.$$

It follows that $T^2x = 0$. However, $a(T) = 1$ and so $x \in \ker(T^2) = \ker(T)$. Hence $y = Tx = 0$ and so $\text{ran}(T) \cap \ker(T) = \{0\}$. Also, $T(\text{ran}(T)) = \text{ran}(T)$. If $x \in H$ there is $u \in \text{ran}(T)$ such that

$Tu = Tx$. Now if $z = x - u$ then $Tz = 0$. Hence $H = \text{ran}(T) \oplus \ker(T)$. Since $d(T) = 1$, T maps $\text{ran}(T)$ onto itself. If $y \in \text{ran}(T)$ and $Ty = 0$ then $y \in \text{ran}(T) \cap \ker(T) = \{0\}$. Hence T_1 is one-one and onto.

Recall that an operator $S \in B(H)$ is said to be quasiaffine transform of T (abbreviate $S \prec T$) if there is a quasiaffinity X such that $XS = TX$.

Definition 5: [13] Let $\text{Hol}(\sigma(T))$ be the space of all functions that analytic in an open neighborhoods of $\sigma(T)$. We say that $T \in B(H)$ has the single-valued extension property (SVEP) if for every open set $U \subseteq \mathbb{C}$ the only analytic function $f : U \rightarrow H$ which satisfies the equation $(T - \lambda I)f(\lambda) = 0$ is the constant function $f = 0$.

It is well-known that $T \in B(H)$ has SVEP at every point of the resolvent $\rho(T) := \mathbb{C} - \sigma(T)$. Moreover, from the identity theorem for analytic function it easily follows that $T \in B(H)$ has SVEP at every point of the boundary $\partial\sigma(T)$ of the spectrum. In particular, T has SVEP at every isolated point of $\sigma(T)$ [16]. In [18, proposition 1.8], Laursen proved that if T is of finite ascent, then T has SVEP.

Lemma 6: If $T \in B(H)$ is a quasi-class A operator and $S \prec T$. Then S has SVEP.

Proof: Since T is a quasi-class A operator and it has a SVEP, then the result follows from [6].

For $T \in B(H)$, it is known that the inclusion $\sigma_{ea}(f(T)) \subseteq f(\sigma_{ea}(T))$ holds for every $f \in \text{Hol}(\sigma(T))$, with no restriction on T . The next theorem shows that for quasi-class A operators the spectral mapping theorem holds for the essential approximate point spectrum. \square

Theorem 7: If $T \in B(H)$ is a quasi-class A operator. Then $\sigma_{ea}(f(T)) = f(\sigma_{ea}(T))$ holds for every $f \in \text{Hol}(\sigma(T))$.

Proof. Let $f \in \text{Hol}(\sigma(T))$. It suffices to show that $\sigma_{ea}(f(T)) \supseteq f(\sigma_{ea}(T))$. Suppose that $\lambda \notin f(\sigma_{ea}(T))$ then $f(T) - \lambda I \in \Phi_+(H)$ and $i(f(T) - \lambda I) \leq 0$ and $f(T) - \lambda I = c(T - \alpha_1 I) \dots (T - \alpha_n I)g(T)$, Where, $c, \alpha_1, \dots, \alpha_n \in \mathbb{C}$ and $g(T)$ is invertible. If T is a quasi-class A, then $\sum_{j=1}^n i(T - \alpha_j I) \leq 0$ and $i(T - \alpha_j I) \leq 0$ for each $j = 1, \dots, n$. Therefore $\lambda \notin f(\sigma_{ea}(T))$. This completes the proof. \square

Definition 8:[12] For $T \in B(H)$ and closed subset F of \mathbb{C} the glocal spectral is

$$\mathfrak{K}_T(F) = \{x \in H : \exists \text{ analytic function } f : \mathbb{C} - F \rightarrow H \text{ such that } (\lambda I - T)f(\lambda) = x, \forall \lambda \in \mathbb{C} - F\}.$$

Definition 9:[12] The quasinilpotent part $H_0(T - \lambda I)$ and the analytic core $K(T - \lambda I)$ are defined by $H_0(T - \lambda I) := \{x \in H : \lim_{n \rightarrow \infty} \|(T - \lambda I)^n x\|^{\frac{1}{n}} = 0\}$, and

$$K(T - \lambda I) = \{x \in H : \text{there exists a sequence } \{x_n\} \subset H \text{ and } \delta > 0 \text{ for which } x = x_0, (T - \lambda I)x_{n+1} = x_n \text{ and } \|x_n\| \leq \delta^n \|x\| \text{ for all } n = 1, 2, \dots\},$$

respectively.

Note that $H_0(T - \lambda I)$ and $K(T - \lambda I)$ are generally non-closed hyper-invariant subspaces of $T - \lambda I$ such that

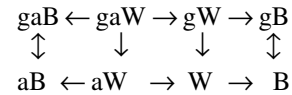
$$(T - \lambda I)^{-p}(0) \subseteq H_0(T - \lambda I) \text{ for all } p = 0, 1, 2, \dots \text{ and}$$

$(T - \lambda I)K(T - \lambda I) = K(T - \lambda I)$. For more information about this subject the reader should refer to [11,12].

Recall that generalized Weyl's theorem (g-Weyl's) holds for T if $\sigma(T) - \sigma_{BW}(T) = E(T)$, Where, $E(T)$ denotes the isolated points λ of $\sigma(T)$, which are eigenvalues (no restriction on multiplicity) and $\sigma_{BW}(T)$ is the set of all complex numbers λ for which $T - \lambda I$ is not B-Weyl's. Berkani [3,proposition 3.2] has called an operator $T \in B(H)$ is B-Fredholm if there exists a natural number n for which the induced operator $T_n : \text{ran}(T^n) \rightarrow \text{ran}(T^n)$ is Fredholm in the usual sense and B-Weyl's" if in addition T_n has zero index. Berkani [3,corollary 3.3] has shown that, if g-Weyl's theorem holds for T then so does Weyl's theorem.

For the sake of simplicity of notation we introduce the abbreviations gaW, aW, gW and W to signify that an operator $T \in B(H)$ (which is usually understood) obeys generalized a-Weyl's theorem, a- Weyl's theorem, generalized Weyl's theorem and Weyl's theorem, respectively.

Analogous meaning is attached to the abbreviations gaB, aB, gB and B with respect to Browder's theorem. In the following diagrams, arrows signify implications between various Weyl's and Browder's theorems [2,4,5,20].



Theorem 10: If $T \in B(H)$ is a quasi-class A operator. Then $\sigma_{BW}(f(T)) = f(\sigma_{BW}(T))$ holds for every $f \in \text{Hol}(\sigma(T))$.

Proof: It suffices to show $\sigma_{BW}(f(T)) \supseteq f(\sigma_{BW}(T))$ since the other inclusion holds for every $f \in \text{Hol}(\sigma(T))$ with no restriction on T . Let $\mu \in \sigma_{BW}(T)$, and $f \in \text{Hol}(\sigma(T))$. Since $\sigma(T)$ is a compact subset of \mathbb{C} , the function $f(z) - f(\mu)$ possesses at most a finite number of zeros in $\sigma(T)$. So

$$f(T) - f(\mu I) = (T - \mu I) \prod_{j=1}^m (T - \lambda_j I)g(T),$$

Where, $\mu, \lambda_1, \dots, \lambda_n \in \mathbb{C}$ and $g(T)$ is an invertible operator. So $g(T)^{-1}$ is a B-Weyl's operator. If $f(T) - f(\mu I)$ is B-Weyl's operator, by [3] applied to $f(T) - f(\mu I)$ and $g(T)^{-1}$ we have

$$(f(T) - f(\mu I))g(T)^{-1} = (T - \mu I)^m \prod_{j=1}^n (T - \lambda_j I)$$

is a B-Weyl's operator. So from [3] $T - \mu I$ is B-Weyl's, a fact which contradicts our assumption. Hence $f(\mu) \in \sigma_{BW}(f(T))$ and $f(\sigma_{BW}(T)) \subseteq \sigma_{BW}(f(T))$.

Theorem 11: Let T be a quasi-class A operator. Then generalized Weyl's theorem holds for $f(T)$ for all $f \in \text{Hol}(\sigma(T))$.

Proof: Since T is isoloid in $\sigma(T)$ by [8, lemma 1.8] and has SVEP, then it suffices to prove that generalized Weyl's theorem holds for T . We shall show that $\sigma(T) - \sigma_{BW}(T) = E(T)$. Let $\lambda \in \sigma(T) - \sigma_{BW}(T)$, then $T - \lambda I$ is B-Weyl's. Then by [3, theorem 2.7] there exists two closed subspaces N and M of H such that $H = M \oplus N$, $T_1 = (T - \lambda I)|_M$ is Weyl's operator, $T_2 = (T - \lambda I)|_N$ is nilpotent and $T - \lambda I = T_1 \oplus T_2$. We have two possibilities: either $\lambda \in \sigma(T|_M)$ or $\lambda \notin \sigma(T|_M)$.

Case I: $\lambda \in \sigma(T|_M)$. Since $T|_M$ is quasi-class A, then Weyl's theorem holds for $T|_M$ and so if $\lambda \in \sigma(T|_M)$, then $\lambda \in E_0(T|_M) \subset \text{iso}\sigma(T|_M)$. Since $T - \lambda I = (T|_M - \lambda I|_M) \oplus T_2$ and T_2 is nilpotent, $\sigma(T_1) - \{0\} = \sigma(T - \lambda I) - \{0\}$ and $\lambda \in \text{iso}\sigma(T)$. This implies that $\lambda \in E_0(T) \subset E(T)$.

Case II: $\lambda \notin \sigma(T|_M)$. Then λ is a pole of T which implies that $\lambda \in E(T)$. Conversely, let $\lambda \in E(T)$. Let P be the spectral projection associated with λ , then $\text{ran}(P) = H_0(T - \lambda I)$, $\ker(P) = K(T - \lambda I)$,

$H_0(T - \lambda I) \neq 0, H = H_0(T - \lambda I) \oplus K(T - \lambda I)$, $K(T - \lambda I)$ is closed subspace [16,19]. Since $0 \neq \ker(T - \lambda I) \subset H_0(T - \lambda I)$, λ is a pole of the resolvent $\mathfrak{R}_\lambda(T) = (T - \lambda I)^{-1}$, then by [16] there is some $q > 0$ such that the space $(T - \lambda I)^{-q}(0)$ is non-zero and complemented by a closed T -invariant subspace $\text{ran}((T - \lambda I)^q) \subset \text{ran}(T - \lambda I)$. Hence $T - \lambda I$ is B-Weyl's, i.e., $\lambda \notin \sigma_{BW}(T)$.

A bounded linear operator T is called a-isoloid if

every isolated point of $\sigma_a(T)$ is an eigenvalue of T . Note that every a -isoloid operator is isoloid and the converse is not true in general.

Theorem 2.4 of [21] affirms that if T^* or T has the SVEP and if T is a-isoloid and generalized a-Weyl's holds for T then generalized a-Weyl's theorem holds for $f(T)$, for every $f \in \text{Hol}(\sigma(T))$. If T^* is quasi-class A, then we have:

Theorem 12: Let T^* be a quasi-class A operator. Then generalized a-Weyl's theorem hold for T .

Proof: Since T^* has SVEP then $\sigma(T) = \sigma_a(T)$ and consequently $E(T) = E^a(T)$. Let $\lambda \notin \sigma_{SBF_+}^-(T)$ be given, then $T - \lambda I$ is semi-B-Fredholm and $i(T - \lambda I) \leq 0$. Then [17, proposition 1.2] implies that $i(T - \lambda I) = 0$ and consequently $T - \lambda I$ is B-Weyl's. Hence $\lambda \notin \sigma_{BW}(T)$. So it follows from [21, theorem 3.1] that $\lambda \in E(T) = E^a(T)$. For the converse, let $\lambda \in E^a(T)$. Then $\lambda \in \text{iso}\sigma_a(T)$. Since T^* has the SVEP, we have $\sigma(T) = \sigma_a(T)$. Hence $\bar{\lambda} \in \sigma(T^*)$. Now we represent T^* as the direct sum $T^* = T_1 \oplus T_2$, Where, $\sigma(T_1) = \{\bar{\lambda}\}$ and $\sigma(T_2) = \sigma(T_1) - \{\bar{\lambda}\}$. Since T is quasi-class A then so does T_1 and so we have two cases:

Case I: ($\bar{\lambda} = 0$): Then T_1 is quasinilpotent. Hence it follows that T_1 is nilpotent. Since T_2 is invertible, then T^* is a B-Weyl's.

Case II: ($\bar{\lambda} \neq 0$): Since $\sigma(T_1) = \{\bar{\lambda}\}$, then $T_1 - \bar{\lambda} I$ is nilpotent and $T_2 - \bar{\lambda} I$ is invertible. Hence it follows from [21, theorem 3.1] that $T^* - \bar{\lambda} I$ is B-Weyl's. Thus in any case $\lambda \in \sigma_a(T) - \sigma_{SBF_+}^-(T)$.

Theorem 13: Let $T \in B(H)$ and T or T^* is a quasi-class A. Then the generalized a-Browder's theorem holds for T .

Proof: The proof is a consequence immediate of [8,2].

CONCLUSION

It can be shown that if T^* is a quasi-class A then the generalized a-Browder's theorem holds for $f(T)$ for every $f \in \text{Hol}(\sigma(T))$.

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